

Export LC Update Drawing User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Export LC Update Drawing

This process involves update of an existing drawing under Export LC.

For Drawings under Export LC, initiated on account of MT 734, 752 and 799, update of drawing under Export LC is initiated to process the substituted documents received from beneficiary and response received from issuing bank with discrepancy highlighted under the LC.

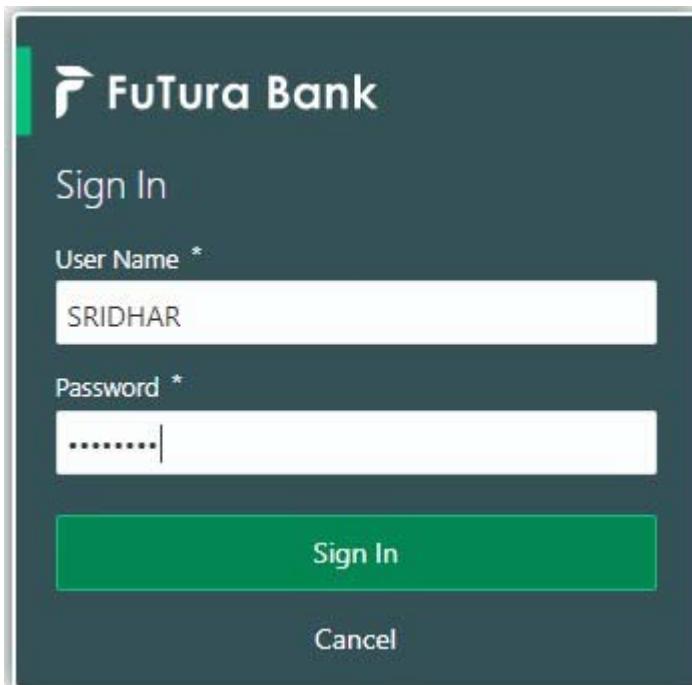
This section contains the following topics:

Registration	Scrutiny
Data Enrichment	Exceptions
Multi Level Approval	Reject Approval

Registration

During registration stage, user can capture the basic details and upload the related documents received from issuing bank. System also enables the user to capture some additional product related details as an option. On submit of the request the request will be available for an LC expert to handle the request in the next stage.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.



The image shows a login screen for 'Futura Bank'. The header 'Futura Bank' is on the left. The main area has a dark background with white text. It says 'Sign In' at the top. Below it are two input fields: 'User Name *' with 'SRIDHAR' typed in, and 'Password *' with '.....' typed in. At the bottom are two buttons: a green 'Sign In' button and a 'Cancel' button.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard displays the following widgets:

- Draft Confirmation Pending:** Shows 3 entries: EMR & CO (25-06-2018), NA (25-06-2018), and NA (21-06-2018).
- Hand-off Failure:** Shows 1 entry: Bank Futura (NA) with a 'Retry HandOff' button.
- Priority Details:** Shows 4 entries: Bank Futura (NA), Bank Futura (NA), and 004 (NA).
- High Value Transactions:** A donut chart showing GBP values.
- SLA Breach Details:** Shows 4 entries: NA, HSBC BANK, WALL MART, and EMR & CO.
- Priority Summary:** Shows 1 entry: 203 (Cucumber Testing).
- Hold Transactions:** Shows 1 entry: Branch, Process Name, Stage Name.
- SLA Status:** Shows 1 entry: Cucumber Testing.
- Tasks Detailed:** Shows 1 entry: Cucumber Testing.

3. Click Trade Finance> Export - Documentary Credit> Export LC Drawing Update.

The Trade Finance menu shows the following options under 'Import - Documentary...':

- Import LC Drawings- ...
- Import LC Update Dra...** (highlighted with a red box)
- Import LC Amendment
- Import LC Amendmen...
- Import LC Drawings
- Import LC Drawings A...

The registration stage has two sections Basic Details and Drawing Update Details. Let's look at the details of registration screens below:

Application Details

Provide the Basic Details based on the description in the following table:

Field	Description	Sample Values
LC Advised by us	<p>Toggle On: Set the toggle to On, if LC is advised by negotiating bank.</p> <p>Toggle Off: Set the toggle to Off, if LC is not advised by the negotiating bank.</p>	
Drawing Number	<p>Provide the drawing number. Alternatively user can search the drawing number using LOV.</p> <p>In the LOV, user can input Customer ID, Beneficiary, Currency, Amount and User Reference to fetch the LC details. Based on the search result, select the applicable drawing under LC.</p>	
Version Number	Application will display the latest version of the drawing.	
Export LC Reference Number	<p>Read only field.</p> <p>Exporter LC Reference Number will be auto-populated based on the selected LC from the LOV.</p>	
Beneficiary ID	<p>LC Advised by us: Read only field. Beneficiary will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Select the beneficiary customer from the LOV.</p> <p>If beneficiary is a customer of the bank, system will check for valid KYC status. If KYC status is not valid, system will display alert message.</p>	

Field	Description	Sample Values
Beneficiary Name	<p>LC Advised by us: Read only field. Beneficiary will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Select the beneficiary customer from the LOV.</p> <p>If beneficiary is a customer of the bank, system will check for valid KYC status. If KYC status is not valid, system will display alert message.</p>	
Branch	<p>Read only field.</p> <p>Branch details will be auto-populated based on the selected LC from the LOV.</p>	203-Bank Futura -Branch FZ1
Process Reference Number	<p>Unique sequence number for the transaction.</p> <p>This is auto generated by the system based on process name and branch code.</p>	
Priority	<p>System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit.</p>	High
Submission Mode	<p>Select the submission mode of Import LC Drawing request. By default the submission mode will have the value as 'Desk'.</p> <p>Desk- Request received through Desk</p> <p>Courier- Request received through Courier</p>	Desk
Drawing Date	<p>Read only field.</p> <p>Drawing date will be auto-populated based on the selected drawing.</p>	04/13/2018
Drawing Update Date	<p>Read only field.</p> <p>Application to default the system date.</p>	

Drawing Details

Drawing Details will be populated from the drawings. Registration user can update the fields if required.

Provide the drawing Details based on the description in the following table:

Field	Description	Sample Values
Cover Letter Date	<p>Read only field.</p> <p>Cover letter date will be auto-populated based on the selected drawing.</p>	
Documents Received	<p>Enables to user to select the number of sets of documents received from the drop down:</p> <ul style="list-style-type: none"> First Second Both 	
Tenor	<p>Read only field.</p> <p>Tenor will be auto-populated based on the selected drawing.</p>	
Product Code	<p>Read only field.</p> <p>Product code will be auto-populated based on the selected drawing.</p>	
Product Description	<p>Read only field.</p> <p>This field displays the description of the product as per the product code.</p>	
Operation	Select the operation code.	

Field	Description	Sample Values
Issuing Bank	<p>LC advised by us: Read only field.</p> <p>Issuing bank will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Select the issuing bank. Party type with banks will only be displayed in LOV.</p> <p>The system will display the</p> <ul style="list-style-type: none"> a) SWIFT code (if available) b) Name and address of the bank <p>On selection of the record if SWIFT code is available then SWIFT code will be populated, if SWIFT code is not available then the bank's name and address will be populated.</p>	
Issuing Bank Reference	<p>LC Advised by us: Read only field.</p> <p>Issuing bank reference will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Provide the issuing bank reference number.</p>	
Expiry Date	<p>Read only field.</p> <p>Expiry date will be auto-populated based on the selected drawing.</p>	
Applicant	<p>Read only field.</p> <p>Applicant will be auto-populated based on the selected drawing.</p>	
Outstanding LC Value	<p>Read only field.</p> <p>Outstanding LC value will be auto-populated. This field displays the value as per the latest LC.</p>	
LC Drawing Amount	<p>Read only field.</p> <p>This field displays the drawing amount from the drawing.</p>	
Negotiation/Financing Currency	Select the Negotiation/Financing Currency.	
Negotiation/Financing Amount	Provide the Negotiation/Financing Amount.	
Unlinked FX Rate	Provide the unlinked FX Rate.	
Additional Amount	This field enables user to provide any additional amount to be processed under the LC drawing.	

Miscellaneous

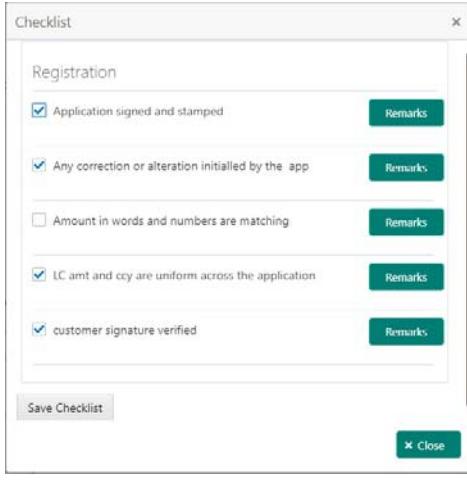
The screenshot shows the 'Futura Bank' application interface. At the top, there are navigation links for 'Dashboard', 'FBN UK (GS1)', 'Feb 1, 2019', and user information 'SRIDHAR01 subham@gmail.com'. Below this, a red box highlights the 'Documents' and 'Remarks' buttons. The 'Application Details' section contains fields for 'LC Advised by us' (with a toggle switch), 'Drawing Reference Number' (GS1ESUC190320504), 'Export LC Reference Number' (GS1ELAC190322510), 'Beneficiary' (000262 EMR. & CO), 'Branch' (GS1-FBN UK), 'Priority' (Medium), 'Submission Mode' (Desk), 'Document Received date' (Feb 1, 2019), 'ProcessReferenceNumber' (GS1ELCUD0024742), and 'Drawing Date' (Feb 1, 2019). The 'LC Details' section includes fields for 'Document Received' (First), 'Tenor' (Sight), 'Product Code' (ESUC), 'Product Description' (OUTGOING DOCUMENTARY SIGHT BILL), 'Drawing Reference' (GS1ESUC190320504), 'Applicant', 'Issuing Bank' (000267 DEUTSCHE BANK), 'Issuing Bank Reference' (1111), 'Expiry Date' (May 31, 2019), 'LC Drawing Amount' (GBP £19,800.00), 'Outstanding LC Value' (GBP £19,800.00), and 'Additional Amount'. At the bottom right are buttons for 'View LC', 'LC Events', 'Hold', 'Cancel', 'Save & Close', and 'Submit'.

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the documents received under the LC.	
Remarks	Provide any additional information regarding the drawing. This information can be viewed by other users processing the request.	
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	
LC Events	On click, system will display the details of LC issuance, amendments (if any), drawings (if any) and liquidations if any under the LC in chronological sequence from Issuance.	

Action Buttons

Submit	On submit, task will get moved to next logical stage of Export LC Update Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Export LC Update Drawing Registration stage inputs.	

Field	Description	Sample Values
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> 	

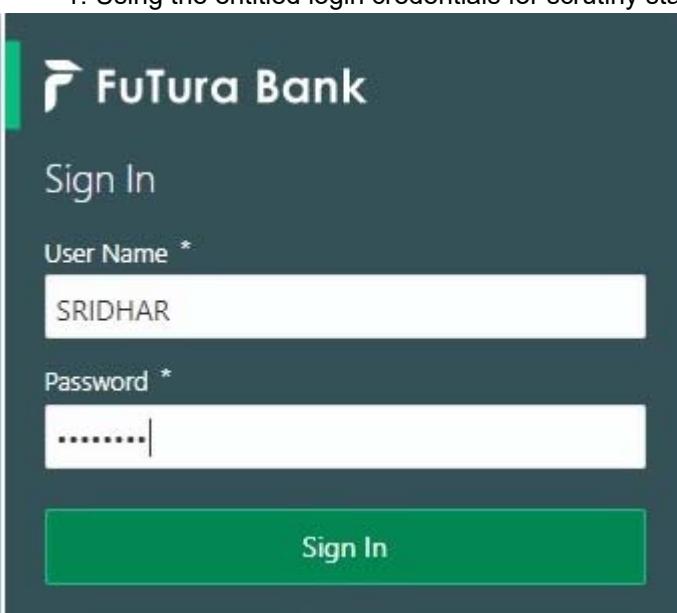
Scrutiny

On successful completion of registration of an Export LC Drawing request, the request moves to scrutiny stage. At this stage the gathered information during registration are scrutinized.

The requests will have the details entered during the registration stage.

Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFCM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard displays the following data:

- Draft Confirmation Pending:**

Customer Name	Application Date	Branch	Process Name	Stage Name
EMR & CO	25-06-2018	GS1	Bank Futura	NA
NA	25-06-2018	GS1	Bank Futura	NA
NA	21-06-2018	GS1	Bank Futura	NA
- Hand-off Failure:**

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOff
- Priority Details:**

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic
- High Value Transactions:**

Chart showing High Value Transactions in GBP. The Y-axis ranges from -20K to 140K. The X-axis ranges from -2 to 12. Data points are clustered around 0-10 on the X-axis.
- SLA Breach Details:**

Customer Name	SLA Breached(mins)	Priority
NA	23474	H
HSBC BANK	26667	M
WALL MART	23495	SHUBHAM
EMR & CO	26780	M
- Priority Summary:**

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip
- Hold Transactions:**

Branch	Process Name	Stage Name
--------	--------------	------------
- SLA Status:**

Customer Name	SLA Breached(mins)	Priority
NA	23474	H
HSBC BANK	26667	M
WALL MART	23495	SHUBHAM
EMR & CO	26780	M
- Tasks Detailed:**

Branch	Process Name	Stage Name
--------	--------------	------------

3. Click Trade Finance> Tasks> Free Tasks.

The Free Tasks page displays the following data:

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage
Acquire & Edit	M	GS1ELCUD0024742	GS1	000262	£19,800.00	Export LC Update Drawings	Scrutiny
Acquire & Edit	H	GS1ELCAD0024745	GS1	000263	£2,000.00	Export LC Issuance	Scrutiny
Acquire & Edit	M	GS1ELCAD0024744	GS1	000263	£2,000.00	Export LC Issuance	Scrutiny
Acquire & Edit	H	203ILCIS0024741	203	000344	£21,321.00	Import LC Issuance	Scrutiny
Acquire & Edit		GS1ILCUD0024738	GS1	000343	£150,000.00	Import LC Update Drawings	Retry HandOff
Acquire & Edit	H	203ILCIS0024737	GS1	000344	£123.00	Import LC Issuance	Data Enrichment

Page 1 of 1 (1-10 of 10 items) | Previous 1 - 10 of 3840 records | Next

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage
Acquire & Edit	M	GS1ELCUD0024742	GS1	000262	£19,800.00	Export LC Update Drawings	Scrutiny
Acquire & Edit	H	GS1ELCAD0024745	GS1	000263	£2,000.00	Export LC Issuance	Scrutiny
Acquire & Edit	M	GS1ELCAD0024744	GS1	000263	£2,000.00	Export LC Issuance	Scrutiny
Acquire & Edit	H	203ILCIS0024741	203	000344	£21,321.00	Import LC Issuance	Scrutiny
Acquire & Edit		GS1ILCUD0024738	GS1	000343	£150,000.00	Import LC Update Drawings	Retry HandOff
Acquire & Edit	H	203ILCIS0024737	GS1	000344	£123.00	Import LC Issuance	Data Enrichment

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage
Edit	M	GS1ELCUD0024742	GS1	000262	£19,800.00	Export LC Update Drawings	Scrutiny

The scrutiny stage has eight sections as follows:

- Main Details
- Document Details
- Shipment Details
- Additional Conditions
- Discrepancy Details
- Additional Details
- Maturity Details
- Summary

Let's look at the details for scrutiny stage. User can enter/update the following fields. Some of the fields that are already having value may not be editable.

Main Details

Main details section has two sub section as follows:

- Application Details

- Drawing Details

Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Following field can be amended based on the description provided in the following table:

Field	Description	Sample Values
Priority	User can change the priority defaulted' Values are High, Medium and Low.	High

Drawing Details

The fields listed under this section are same as the fields listed under the [Drawing Details](#) section in [Registration](#). Refer to [Drawing Details](#) for more information of the fields. During registration, if user has not captured input, then user can capture the details in this section.

Following fields can be amended based on the description in the following table:

Field	Description	Sample Values
Tenor Type	Select the Tenor Type from the LOV: <ul style="list-style-type: none"> • Sight • Usance • Mixed 	
Documents Received	Set the document received status as 'Yes' or 'No' from the LOV.	
Documents Set	Enables to user to select the number of sets of documents received from the drop down: <ul style="list-style-type: none"> • First • Second • Both 	
Duplicate	LC Advised by us: Read only field. Outstanding LC Value reference will be auto-populated based on the selected LC from the LOV. LC not advised by us: Provide the outstanding LC value.	
Outstanding LC Value	Read only field. Outstanding LC value will be auto-populated. This field displays the value as per the latest LC.	
Product Code	Read only field. Product code will be auto-populated based on the selected drawing.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Drawing Number	Read only field. Drawing Number will be auto-populated based on the selected drawing.	

Field	Description	Sample Values
Issuing Bank	<p>LC advised by us: Read only field.</p> <p>Issuing bank will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised us: Select the issuing bank. Party type with banks will only be displayed in LOV.</p> <p>The system will display the</p> <ul style="list-style-type: none"> a) SWIFT code (if available) b) Name and address of the bank <p>On selection of the record if SWIFT code is available then SWIFT code will be populated, if SWIFT code is not available then the bank's name and address will be populated.</p>	
Issuing Bank Reference	<p>LC Advised by us: Read only field.</p> <p>Issuing bank reference will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Provide the issuing bank reference number.</p>	
LC Drawing Amount	<p>Read only field.</p> <p>This field displays the drawing amount from the drawing.</p>	
Additional Amount	This field enables user to provide any additional amount to be processed under the LC drawing.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	<p>Task will get moved to next logical stage of Export LC Update Drawing.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. In case of duplicate documents' system will terminate the process after handing off the details to back office.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Scrutiny stage inputs.	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	Click Next to move to next logical step in Scrutiny stage.	

Document Details

User can verify the documents received and identify discrepancies, if any. Compare the document received with the required documents and identify the discrepancies, if any. This section also enables user can add any new documents provided by beneficiary. Provide the information based on the description in the following table:

Document Code	Document Reference Number	Copy	Original	Description	Received Copy	Received Original
INSDOC			3/3		2	
INVDOC			3/3		2	
MARDOC			3/3		3	

Field	Description	Sample Values
Document Code	Document code is auto-populated from the latest LC.	
Document Reference Number	Enables user to provide the reference number available in the documents.	
Copy	Number of duplicate copies of documents as required in LC.	
Original	Number of documents in original as required in LC.	

Field	Description	Sample Values
Description	Description of the document required as per LC.	
Received Copy	Provide the number of duplicate copies of documents received.	
Received Original	Provide the number of originals of documents received.	
Received Description	Provide the description of the documents received from presenting bank.	
Discrepant	System marks the discrepant toggle as 'Yes' if there is difference between number of documents required and number of documents received. It also enables the user to make the changes, if any discrepancy identified in the document.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	

Shipment Details

User must check whether the received documents of goods and shipment matches the requirement in LC.

Futura Bank | My Tasks

Export LC Update Drawings - Scrutiny :: Application No: GS1ELCUD0024742

FBN UK (GS1) | Feb 1, 2019 | SRIDHAR01
subham@gmail.com

Documents | Remarks | *i* |  | 

Screen (3 / 8)

Shipment Details

Goods Details

Goods Code	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description
No data to display.					

Country of Origin Insurance Company Insurance Company Address

Shipment Details

Date of Shipment *	Carrier Name	Shipping Agent	Date of Presentation *
Jan 23, 2019			Feb 1, 2019

Details	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description
Partial Shipment	NOT ALLOWED		<input type="checkbox"/>		
Trans Shipment	NOT ALLOWED		<input type="checkbox"/>		
Place Of Taking Charge			<input type="checkbox"/>		
Port Of Loading	LONDON		<input type="checkbox"/>		
Port Of Discharge	NEW YORK		<input type="checkbox"/>		
Place Of Final Destination			<input type="checkbox"/>		
Latest Date Of Shipment	May 10, 2019		<input type="checkbox"/>		
Shipment Period			<input type="checkbox"/>		
Period Of Presentation			<input type="checkbox"/>		

Carrier Details

Carrier Name	Port

No data to display.

Carrier Details

Carrier Name | Port

+ | - |  | 

Reject | Hold | Cancel | Save & Close | Back | Next

Goods Details

Futura Bank My Tasks

FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Export LC Update Drawings - Scrutiny :: Application No: GS1ELCUD0024742

Screen (3 / 8)

Main Details Document Details **Shipment Details** Additional Conditions Discrepancy Details Additional Details Maturity Details Summary

Shipment Details

▲ Goods Details

Goods Code	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description
No data to display.					

Country of Origin Insurance Company Insurance Company Address

▲ Shipment Details

Date of Shipment *	Carrier Name	Shipping Agent	Date of Presentation *
Jan 23, 2019			Feb 1, 2019

Details	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description
Partial Shipment	NOT ALLOWED		<input type="checkbox"/>		
Trans Shipment	NOT ALLOWED		<input type="checkbox"/>		
Place Of Taking Charge			<input type="checkbox"/>		
Port Of Loading	LONDON		<input type="checkbox"/>		
Port Of Discharge	NEW YORK		<input type="checkbox"/>		
Place Of Final Destination			<input type="checkbox"/>		
Latest Date Of Shipment	May 10, 2019		<input type="checkbox"/>		
Shipment Period			<input type="checkbox"/>		
Period Of Presentation			<input type="checkbox"/>		

Carrier Details

Carrier Name	Port

No data to display.

Reject Hold Cancel Save & Close Back Next

Provide the Goods Details based on the description in the following table:

Field	Description	Sample Values
Goods Code	This field displays goods code as per latest LC.	
As per LC	<p>LC Advised by us: Read only field.</p> <p>This field displays description of the goods as per the latest LC.</p> <p>LC not advised by us: Provide the description of goods.</p>	
As per Documents	<p>LC Advised by us: Read only field.</p> <p>This field displays description of the goods as per the received documents.</p> <p>LC not advised by us: Provide the description of the goods as per the documents.</p>	

Field	Description	Sample Values
Discrepant	User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	
Country of Origin	Provide the country of origin as per the documents.	
Insurance Company	Provide the insurance company name, if applicable.	
Insurance Company Address	Provide the insurance company details, if applicable.	

Shipment Details

Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
Date of Shipment	<p>Provide the date of shipment as per the documents received.</p> <p>This field is mapped against the Latest Date of Shipment in LC to check for discrepancy.</p>	
Date of Presentation	<p>Read only field.</p> <p>Auto-populated based on the system date. If Place of expiry is Issuing bank, This field is mapped against the Expiry Date in LC to check for discrepancy.</p>	
Details	Details represent the fields in latest LC.	
As per LC	This field displays description of the fields as per the latest LC.	

Field	Description	Sample Values
As Per Document	Provide the description of the field as per document.	
Discrepant	User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	

Carrier Details

During shipment, if goods are shipped using multiple ships on its way or any transit ports, the details must be captured in this section.

Carrier Details

Carrier Name	Port
No data to display.	

+ -

Field	Description	Sample Values
Carrier Name	Provide the details of the carrier as per the documents received, if the goods got shipped via multiple carriers.	
Port	Provide the port details as per the document received, if the ship has transit on its way to the destination.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	On successful submission, task moves to next logical stage of Export LC Update Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Export LC Update Drawing scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	Click Next to move to next logical step in Scrutiny stage.	

Additional Conditions

Enables the user to check the whether the documents received comply with the additional conditions mentioned in LC.

Additional Conditions

Additional Conditions

FFT Code	FFT Description	Discrepant	Discrepant Code	Discrepant Description
No data to display.				

Instruction Details

Select	Instruction Code	Instruction Description
No data to display.		

Buttons: Reject, Hold, Cancel, Save & Close, Back, Next

This section displays the list of additional conditions as per LC. Provide the Additional Conditions details as per the description in the following table:

Field	Description	Sample Values
FFT Code	This field displays the FFT code as per the latest LC.	
FFT Description	This field displays the description of the FFT code as per the latest LC.	

Field	Description	Sample Values
Discrepant	User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	

Instruction Details

User can capture one of the applicable instruction codes for the drawing. System will populate the instruction description for the selected instruction code.

Field	Description	Sample Values
Instruction Code	Select the applicable instruction code for the drawing.	
Instruction Description	This field displays the instruction description based on the instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	On successful submission, task moves to next logical stage of Export LC Update Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Export LC Update Drawing scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	Click Next to move to next logical step in Scrutiny stage.	

Discrepancy Details

This section displays the list of discrepancies captured and enables the user to modify the discrepancies.

Discrepancy Details

User can add/update/review the discrepancies identified in the section.

Discrepancy Code	Discrepancy Description	Discrepancy Resolved	Resolved Date	Resolved Remarks	Resolved Under MT750
ACW	AIRCRAFT CARRIER ORIG	<input checked="" type="checkbox"/>	<input type="button" value=""/>	<input type="text"/>	
BOL	BILL OF LADING NOT SUBJ	<input checked="" type="checkbox"/>	<input type="button" value=""/>	<input type="text"/>	
ACW	AIRCRAFT CARRIER ORIG	<input checked="" type="checkbox"/>	<input type="button" value=""/>	<input type="text"/>	

Discrepancy Tracer

Tracer Required	Tracer Frequency	Tracer Medium	Tracer Receiver Party
<input checked="" type="checkbox"/>	Daily	Mail	Issuing Bank
Tracer Start Date	<input type="button" value=""/>		
Feb 1, 2019			

Reject
Hold
Cancel
Save & Close
Back
Next

Field	Description	Sample Values
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	
Discrepancy Resolved	Set the toggle to 'Yes', if discrepancy is resolved.	
Resolved Date	Set the date when discrepancy is resolved.	
Resolved Remarks	Provide remarks for discrepancy resolution.	

Discrepancy Tracer

User can trace the response for the discrepancies identified.

FuTura Bank My Tasks

FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Export LC Update Drawings - Scrutiny :: Application No: GS1ELCUD0024742

Discrepancy Details

Discrepancy Code	Discrepancy Description	Discrepancy Resolved	Resolved Date	Resolved Remarks	Resolved Under MT750
ACW	AIRCRAFT CARRIER ORIG	<input type="radio"/>	<input type="button" value=""/>	<input type="text"/>	
BOL	BILL OF LADING NOT SUBJ	<input type="radio"/>	<input type="button" value=""/>	<input type="text"/>	
ACW	AIRCRAFT CARRIER ORIG	<input type="radio"/>	<input type="button" value=""/>	<input type="text"/>	

Discrepancy Tracer

Tracer Required <input checked="" type="checkbox"/>	Tracer Frequency <input type="button" value=""/>	Tracer Medium <input type="button" value=""/>	Tracer Receiver Party <input type="button" value=""/>
Tracer Start Date <input type="text" value="Feb 1, 2019"/>	<input type="button" value=""/>		

Reject Hold Cancel Save & Close Back Next

Field	Description	Sample Values
Tracer Required	<p>Toggle on - Switch on the toggle to capture the tracer details.</p> <p>Toggle off - Switch off the toggle, if user does not require to capture tracer details.</p>	
Tracer Frequency	System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc.	
Tracer Medium	Select the tracer medium from the LOV: <ul style="list-style-type: none"> • Mail • Email • Swift 	
Tracer Receiver Party	Read only field. 'Applicant' will be defaulted as tracer receiver party.	
Tracer Start Date	Capture the tracer start date. If the date is earlier than system date, system to display an error message.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	<p>On successful submission, task moves to next logical stage of Export Update LC Drawing.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Export LC Update Drawing scrutiny stage inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	Click Next to move to next logical step in Scrutiny stage.	

Additional Details

Futura Bank
My Tasks
FBN UK (GS1)
Feb 1, 2019
SRIDHAR01
subham@gmail.com

Export LC Update Drawings - Scrutiny :: Application No: GS1ELCUD0024742

Main Details
Document Details
Shipment Details
Additional Conditions
Discrepancy Details
Additional Details
Maturity Details
Summary

Additional Details

Limit & Collateral	
Limit Currency	: GBP
Limit Contribution	: 19800
Limit Status	: Not Verified
Collateral Currency	:
Collateral Contribution	:
Collateral Status	:

Charge Details

Charge Details	
Charge	:
Commission	:
Tax	:
Block Status	:

Reject
Hold
Cancel
Save & Close
Back
Next

Limits & Collateral

Limit & Collateral

▲ Limit Details

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed as the f

▲ Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Available	The amount block can be perf

✓ Save & Close ✗ Cancel

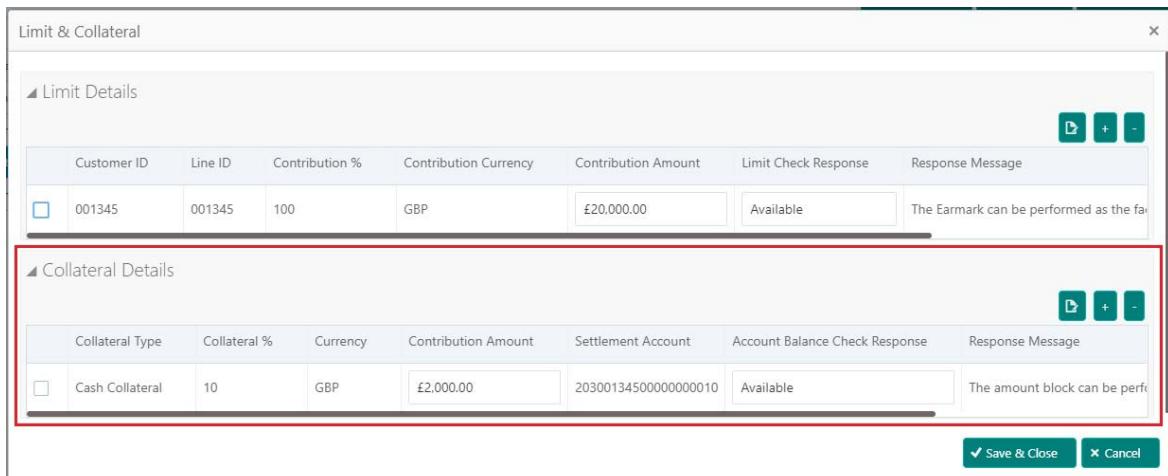
Limit Details

Customer ID	Line ID *
001345	001345
Contribution % *	Limits Description
100	
Contribution Currency	Contribution Amount *
GBP	£20,000.00
Limit Currency	Limit Available Amount
GBP	
Limit Check Response	Response Message
Available	The Earmark can be performed as the f
Verify	
✓ Save & Close ✗ Cancel	

Field	Description	Sample Values
Limit Details	Read only field. Customer ID: Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	Read only field. LINE ID-DESCRIPTION will be available along with Line ID.	

Field	Description	Sample Values
Contribution	System will default this to 100%.	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	

This section displays the collateral details:



The screenshot shows a modal dialog titled 'Limit & Collateral'. It contains two main sections: 'Limit Details' and 'Collateral Details'.

Limit Details: This section displays the following data:

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed as the fa...

Collateral Details: This section displays the following data:

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Available	The amount block can be perf...

At the bottom of the dialog are two buttons: 'Save & Close' and 'Cancel'.

Collateral Details

Collateral Type *	Collateral % *
Cash Collateral	20
Currency	Contribution Amount *
GBP	£4,000.00
Settlement Account *	Settlement Account Branch
20300134600000000017	203
Settlement Account Currency	Account Available Amount
GBP	£998,926,760.53
Response	Response Message
Available	The amount block can be performed as
<input type="button" value="Verify"/> <input type="button" value="✓ Save & Close"/> <input type="button" value="✗ Cancel"/> 	

Field	Description	Sample Values
Collateral Type	Cash Collateral (CASA) will be the default value available as collateral type. Selected collateral type will be displayed in this field.	
Collateral %	This field displays the percentage of collateral.	
Currency	The LC currency will get defaulted in this field.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	This field displays the details of settlement account for then collateral.	
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	This field displays the Settlement Account Currency.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	

Charge Details

This section displays charge details:

Charge Details

Recalculate
Redefault

Charge Details

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURISS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	2030013460000000017
LCSWIFTIS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	2030013460000000017
OTHBNKCHG	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	2030013460000000017

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
-----------	------	----------	--------	----------	-------	-------

Save & Close
 Cancel

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

This section displays the commission details:

Charge Details

▲ Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILSN_COMM	1.5	GBP	\$1,900.00	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

▲ Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	203001346000000000017
LCTAX	GBP	1600	203001346000000000017
LCTAX1	GBP	0	203001346000000000017

✓ Save & Close ✗ Cancel

Field	Description	Sample Values
Component	This field displays the commission component.	
Rate	Defaults from product.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If check box is selected, charges/commissions has to be deferred and collected at any future step.	
Waive	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Charge Details

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILSN_COMM	1.5	GBP	\$1,900.00	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

✓ Save & Close × Cancel

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

Maturity Details

This field displays the maturity details and this tile will be disabled, if the tenor for the drawing is 'Sight'.

Futura Bank My Tasks

Export LC Update Drawings - Scrutiny :: Application No: GS1ELCUD0024742

SRIDHAR01 subham@gmail.com

Maturity Details

Screen (7 / 8)

Main Details
 Document Details
 Shipment Details
 Additional Conditions
 Discrepancy Details
 Additional Details
 Maturity Details
 Summary

Maturity Details

Tenor Type	Tenor Basis	Start Date	Tenor Days
Sight			
Transit Days	Maturity Date *	Usance Interest Rate	Usance Interest Amount
			GBP

Multy Tenor

+	-							
Tenor	Tenor Basis	Start Date	Tenor Days	Transit Days	Maturity Date	Usance Interest Rate	Usance Interest Currency	Usance Interest Amount

No data to display.

Interest From Date	Interest To Date	Acceptance Commission From Date	Acceptance Commission To Date
<input type="button" value=""/>	<input type="button" value=""/>	<input type="button" value=""/>	<input type="button" value=""/>

Provide the maturity details based on the description in the following table:

Field	Description	Sample Values
Tenor Type	Read only field. This field displays the tenor type as per LC.	
Tenor Basis	Provide the tenor basis, if the tenor is not sight.	
Tenor Start Date	Provide the tenor start date.	
Tenor Days	Provide the number of tenor days	
Transit Days	Provide the transit days, if the tenor is sight.	
Maturity date	System displays the due date for the drawing based on tenor and tenor basis. If tenor is sight, system will calculate the maturity date as 5 working days from document Received date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date. If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.	
Usance Interest Rate	Provide the usance interest rate.	
Usance Interest Amount	Provide the usance interest amount for the LC value as per the tenor basis.	

In case of multi tenor, user can provide multiple maturity details by clicking the plus icon.

Field	Description	Sample Values
Interest from Date	Select the interest from date. The interest from date cannot be earlier than branch date and later than maturity date.	
Tenor Basis	Provide the tenor basis, if the tenor is not sight.	
Acceptance Commission From Date	Provide the acceptance commission from date.	
Acceptance Commission To Date	Provide the acceptance commission to date.	

Summary

User can review the summary of details updated in scrutiny Export LC Update Drawing request.

The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

The screenshot shows a summary screen for an application titled "Export LC Update Drawings - Scrutiny :: Application No: GS1ELCUD0024742". The screen is divided into several sections:

- Left Sidebar:** A navigation menu with items: Main Details, Document Details, Shipment Details, Additional Conditions, Discrepancy Details, Additional Details, Maturity Details, and Summary.
- Header:** FBN UK (GS1) | Feb 1, 2019 | SRIDHAR01 | subham@gmail.com | Screen (8 / 8)
- Content Area:** A grid of tiles representing different data segments:
 - Main Details:** Product Code : ESUC, Currency : GBP, Amount : 19800
 - Document Details:** Document 1 : INSDOC, Document 2 : INVDOC, Document 6 : MARDOC
 - Shipment Details:** Goods Code : , Goods Description :
 - Additional Conditions:** FFT Code 1 : , FFT Code 2 :
 - Discrepancy Details:** Discrepancy Code 1 : ACW, Discrepancy Code 2 : BOL, Discrepancy Code 3 : ACW
 - Charge Details:** Charge : , Commission : , Tax : , Block Status : Not Initia...
 - Limits Details:** Limit Currency : GBP, Limit Contribution : 19800, Limit Status : Not Verified, Collateral Currency : GBP, Collateral Contr. : , Collateral Status : Not Verified
 - Maturity Details:** Tenor Type : Sight, Tenor Basis : , Maturity Date :
 - Party Details:** Drawee : Marks and ... , Drawer : EMR & CO
- Footer:** Action buttons: Reject, Hold, Cancel, Save & Close, Back, Next, Submit.

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Additional Conditions - User can view additional conditions of the drawing.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.

Action Buttons

Use action buttons based on the description in the following table:

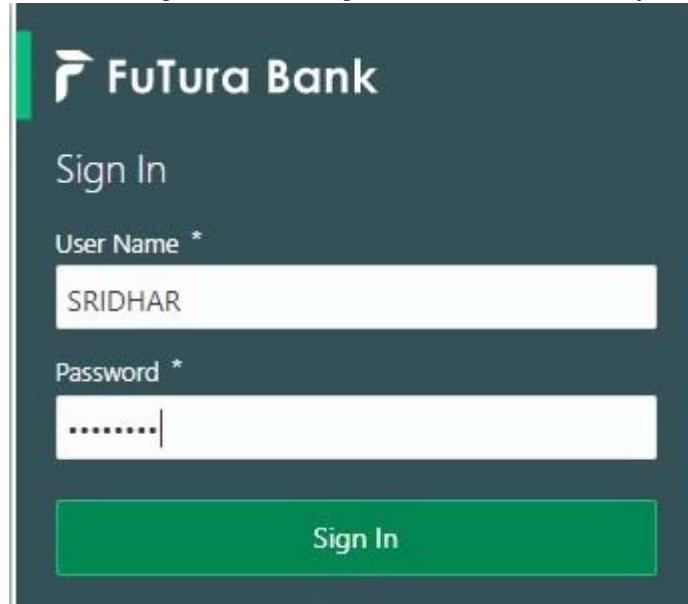
Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Update Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs.	

Field	Description	Sample Values
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Data Enrichment

Do the following steps to acquire a task at Data enrichment stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFCM application.



The screenshot shows the 'Sign In' page of the FuTura Bank application. The page has a dark green header with the 'FuTura Bank' logo and the word 'Sign In'. Below the header, there are two input fields: 'User Name *' containing 'SRIDHAR' and 'Password *' containing '.....'. At the bottom of the page is a large green 'Sign In' button.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard displays the following data:

- Draft Confirmation Pending:**

Customer Name	Application Date	Branch	Process Name	Stage Name
EMR & CO	25-06-2018	GS1	Bank Futura	NA
NA	25-06-2018	GS1	Bank Futura	NA
NA	21-06-2018	GS1	Bank Futura	NA
- Hand-off Failure:**

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOff
- Priority Details:**

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic
- High Value Transactions:**

Chart showing transaction volume in GBP. The x-axis ranges from -2 to 12, and the y-axis ranges from -20K to 140K. The chart shows a significant peak around 10-12 on the x-axis.
- SLA Breach Details:**

Customer Name	SLA Breached(mins)	Priority	Branch	Process Name	Stage Name
NA	23474	H	KEERTIVD1		
HSBC BANK	26667	M	SHUBHAM		
WALL MART	23495		SHUBHAM		
EMR & CO	26780	M	GOPINATH01		
- Priority Summary:**

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip
- Hold Transactions:**

Branch	Process Name	Stage Name
--------	--------------	------------
- SLA Status:**

Customer Name	SLA Breached(mins)	Priority	Branch	Process Name	Stage Name
NA	23474	H	KEERTIVD1		
HSBC BANK	26667	M	SHUBHAM		
WALL MART	23495		SHUBHAM		
EMR & CO	26780	M	GOPINATH01		
- Tasks Detailed:**

Branch	Process Name	Stage Name
--------	--------------	------------

3. Click Trade Finance> Tasks> Free Tasks.

The Free Tasks list shows the following data:

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage
Acquire & Edit	M	GS1ELCUD0024742	GS1	000262	£19,800.00	Export LC Update Drawings	Data Enrichment
Acquire & Edit	M	GS1ELCUD0024767	GS1	000343	£11,000.00	Import LC Update Drawings	Data Enrichment
Acquire & Edit	H	203ILCIS0024763	203	000344	£121.00	Import LC Issuance	Scrutiny
Acquire & Edit	H	203ILCIS0024760	203	000344	£4,534.00	Import LC Issuance	Scrutiny
Acquire & Edit	M	GS1ELCAD0024723	GS1	004567892	ZMK200.00	Export LC Issuance	Scrutiny
Acquire & Edit	M	239ELCAD0024722	GS1	000264	ZMK10.00	Export LC Issuance	Scrutiny

Page 1 of 1 (1-10 of 10 items)

Previous 1 - 10 of 3871 records Next

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage
Acquire & Edit	M	GS1ELCUD0024742	GS1	000262	£19,800.00	Export LC Update Drawings	Data Enrichment
Acquire & Edit	M	GS1ILCUD0024767	GS1	000343	£11,000.00	Import LC Update Drawings	Data Enrichment
Acquire & Edit	H	203ILCIS0024763	203	000344	£121.00	Import LC Issuance	Scrutiny
Acquire & Edit	H	203ILCIS0024760	203	000344	£4,534.00	Import LC Issuance	Scrutiny
Acquire & Edit	M	GS1ELCAD0024723	GS1	004567892	ZMK200.00	Export LC Issuance	Scrutiny
Acquire & Edit	M	239ELCAD0024722	GS1	000264	ZMK10.00	Export LC Issuance	Scrutiny

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage
Edit	M	GS1ELCUD0024742	GS1	000262	£19,800.00	Export LC Update Drawings	Data Enrichment

The Data Enrichment stage has five sections as follows:

- Main Details
- Discrepancy Details
- Maturity Details
- Additional Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

Main Details

Main details section has two sub section as follows:

- Application
- Application Details

- Drawing Details

Application

This section provides a quick snapshot of details of LC. This Application section will be available in all the sections of Scrutiny stage and the fields will be read only. This section is collapsible.

Main Details

Application :- 203ILCUD0018414

Priority	Branch	Applicant	Beneficiary
Low	203 - Bank Futura		
Amount	Issue Date	Expiry Date	Advising/Issuing Bank
£10,000.00	Apr 13, 2018	Jul 19, 2018	

Screen (1 / 8)

View LC | LC Events | Reject | Hold | Cancel | Save & Close | Back | Next

Application Details

All fields displayed under Basic details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Main Details

Application Details

LC Advised by us *	Drawing Reference Number *	Export LC Reference Number	Beneficiary
GS1-FBN UK	GS1ESUC190320504	GS1ESUC190320504	000262 EMR & CO
Document Received date *	ProcessReferenceNumber	Submission Mode	Drawing Date *
Feb 1, 2019	GS1ELCUD0024742	Desk	Feb 1, 2019

Screen (1 / 8)

View LC | LC Events | Reject | Hold | Cancel | Save & Close | Back | Next

Following field can be amended based on the description provided in the following table:

Field	Description	Sample Values
Priority	User can change the priority defaulted' Values are High, Medium and Low.	High

Drawing Details

The fields listed under this section are same as the fields listed under the [Drawing Details](#) section in [Registration](#). Refer to [Drawing Details](#) for more information of the fields. During registration, if user has not captured input, then user can capture the details in this section.

The screenshot shows a software interface for managing LC drawings. At the top, there's a header with the bank name 'Futura Bank', the date 'Feb 1, 2019', and user information 'SRIDHAR01 subham@gmail.com'. Below the header, the title 'Export LC Update Drawings - Scrutiny :: Application No: GS1ELCUD0024742' is displayed. The main content area is divided into sections: 'Main Details' (which is currently selected, indicated by a blue background and a radio button) and 'LC Details'. The 'Main Details' section contains fields for 'LC Advised by us' (with a toggle switch), 'Drawing Reference Number' (set to 'GS1ESUC190320504'), 'Export LC Reference Number' (set to 'GS1ESUC190320504'), 'Beneficiary' (set to '000262 EMR & CO'), 'Branch' (set to 'GS1-FBN UK'), 'Priority' (set to 'Medium'), 'Submission Mode' (set to 'Desk'), 'Document Received date' (set to 'Feb 1, 2019'), and 'ProcessReferenceNumber' (set to 'GS1ELCUD0024742'). The 'LC Details' section contains fields for 'Document Received' (set to 'First'), 'Tenor' (set to 'Sight'), 'Product Code' (set to 'ESUC'), 'Product Description' (set to 'OUTGOING DOCUMENTARY SIGHT BIL'), 'Drawing Reference' (set to 'GS1ESUC190320504'), 'Applicant' (empty), 'Issuing Bank' (set to '000267 DEUTSCHE BANK'), 'Issuing Bank Reference' (set to '1111'), 'Expiry Date' (set to 'May 31, 2019'), 'LC Drawing Amount' (set to '£19,800.00'), 'Outstanding LC Value' (set to '£19,800.00'), and 'Additional Amount' (empty). At the bottom of the screen, there are buttons for 'Reject', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Following fields can be amended based on the description in the following table:

Field	Description	Sample Values
Cover Letter Date	Read only field. Cover letter date will be auto-populated based on the selected drawing.	
Documents Received	Enables to user to select the number of sets of documents received from the drop down: <ul style="list-style-type: none">FirstSecondBoth	
Tenor	Read only field. Tenor will be auto-populated based on the selected drawing.	
Product Code	Read only field. Product code will be auto-populated based on the selected drawing.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Operation	Select the operation code.	

Field	Description	Sample Values
Issuing Bank	<p>LC advised by us: Read only field.</p> <p>Issuing bank will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Select the issuing bank. Party type with banks will only be displayed in LOV.</p> <p>The system will display the</p> <ul style="list-style-type: none"> a) SWIFT code (if available) b) Name and address of the bank <p>On selection of the record if SWIFT code is available then SWIFT code will be populated, if SWIFT code is not available then the bank's name and address will be populated.</p>	
Issuing Bank Reference	<p>LC Advised by us: Read only field.</p> <p>Issuing bank reference will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Provide the issuing bank reference number.</p>	
Expiry Date	<p>Read only field.</p> <p>Expiry date will be auto-populated based on the selected drawing.</p>	
Applicant	<p>Read only field.</p> <p>Applicant will be auto-populated based on the selected drawing.</p>	
Outstanding LC Value	<p>Read only field.</p> <p>Outstanding LC value will be auto-populated. This field displays the value as per the latest LC.</p>	
LC Drawing Amount	<p>Read only field.</p> <p>This field displays the drawing amount from the drawing.</p>	
Negotiation/Financing Currency	Select the Negotiation/Financing Currency.	
Negotiation/Financing Amount	Provide the Negotiation/Financing Amount.	
Unlinked FX Rate	Provide the unlinked FX Rate.	
Additional Amount	This field enables user to provide any additional amount to be processed under the LC drawing.	
Incoming Swift Message	In case of swift message received (MT 752, MT 732, MT 734, MT 799)	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	<p>Task will get moved to next logical stage of Export LC Update Drawing.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. In case of duplicate documents' system will terminate the process after handing off the details to back office.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	Click Next to move to next logical step in Scrutiny stage.	

Update

Verify/Update the following sections, if required.

Shipment Details

This is a read only section. Refer the Export LC Drawing User Manual for more information of the fields.

Document Details

User can verify the documents received and identify discrepancies, if any. Compare the document received with the required documents and identify the discrepancies, if any. This section also enables

user can add any new documents provided by beneficiary. Provide the information based on the description in the following table:

Document Code	Document Reference Number	Copy	Original	Description	Received Copy	Received Original
INSDOC			3/3			2
INVDOC			3/3			2
MARDOC			3/3			3

Field	Description	Sample Values
Document Code	Document code is auto-populated from the latest LC.	
Document Reference Number	Enables user to provide the reference number available in the documents.	
Copy	Number of duplicate copies of documents as required in LC.	
Original	Number of documents in original as required in LC.	
Description	Description of the document required as per LC.	
Received Copy	Provide the number of duplicate copies of documents received.	
Received Original	Provide the number of originals of documents received.	
Received Description	Provide the description of the documents received from presenting bank.	
Discrepant	System marks the discrepant toggle as 'Yes' if there is difference between number of documents required and number of documents received. It also enables the user to make the changes, if any discrepancy identified in the document.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	

Discrepancy Details

This section displays the list of discrepancies captured and user can capture any additional discrepancies identified. If any of the discrepancies is resolved, the details must be captured in this section.

Field	Description	Sample Values
Discrepancy Code	<p>Read only field.</p> <p>This field displays the discrepancy code based on the discrepancy identified.</p>	
Discrepancy Description	<p>Read only field.</p> <p>This field displays the description based on the description code.</p>	
Discrepancy Resolved	Set the toggle to 'Yes', if discrepancy is resolved.	
Resolved Date	Set the date when discrepancy is resolved.	
Resolved Remarks	Provide remarks for discrepancy resolution.	
Resolved Under MT752	The discrepancies which are resolved under MT752 are listed here and will not be available in the Discrepancy summary field.	

Discrepancy Tracer

Field	Description	Sample Values
Tracer Required	<p>Toggle on - Switch on the toggle to capture the tracer details.</p> <p>Toggle off - Switch off the toggle, if user does not require to capture tracer details.</p>	
Tracer Frequency	System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc.	

Field	Description	Sample Values
Tracer Medium	Select the tracer medium from the LOV: <ul style="list-style-type: none"> • Mail • Email • Swift 	
Tracer Receiver Party	Read only field. 'Applicant' will be defaulted as tracer receiver party.	
Tracer Start Date	Capture the tracer start date. If the date is earlier than system date, system to display an error message.	

Acceptance/Payment

Payment Details

PaymentDetails

Auto Liquidation Required	Preshipment Credit Availed	Reimbursement Claimed	Repay Preshipment Credit
<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
Reimbursing Bank	Reimbursement Days	Preshipment Credit A/C No.	Reimbursement Date
Preshipment Credit Outstanding Amount	Preshipment Credit Repayment Amount		
<input type="text"/>	<input type="text"/>		<input type="text"/>
<input type="button" value="Save & Close"/> <input type="button" value="Cancel"/>			

Provide the payment and acceptance details as per the description in the following table:

Field	Description	Sample Values
Auto Liquidation Required	Yes: Select Yes if auto liquidation is required. It is applicable only if the drawing is without discrepancy. No: Select No if auto liquidation is not required.	
Reimbursement to be claimed	Yes: Select Yes if reimbursement to be claimed. This is applicable if the Reimbursement Bank field has value. No: Select No if there are no reimbursement to be claimed.	
Reimbursement Days	Provide the number of days before the maturity date on which the reimbursement claim needs to be triggered.	
Reimbursing Bank	Read only field. Reimbursing bank details gets defaulted from the LC.	

Field	Description	Sample Values
Reimbursement Date	Reimbursement date will be displayed based on the reimbursement days and maturity date. Enables user to capture the reimbursement date. If reimbursement date is later than the branch date, system will display an error.	
Pre-shipment Credit Account Number	This field displays the pre-shipment credit account number.	
Pre-shipment Credit Outstanding Amount	This field displays the pre-shipment credit outstanding amount.	
Pre-shipment Credit Repayment Amount	This field displays the pre-shipment credit repayment amount.	
Acceptance		
Acceptance Received	Yes: Select Yes, if the acceptance is received. No: Select No, if the acceptance is not received.	
Acceptance Date	Provide the acceptance date.	

Maturity Details

This field displays the maturity details and this section will be disabled, if the tenor for the drawing is 'Sight'.

Provide the maturity details based on the description in the following table:

Field	Description	Sample Values
Tenor Type	Read only field. This field displays the tenor type as per LC.	
Tenor Basis	Provide the tenor basis, if the tenor is not sight.	
Tenor Start Date	Provide the tenor start date.	
Tenor Days	Provide the number of tenor days	

Field	Description	Sample Values
Transit Days	Provide the transit days, if the tenor is sight.	
Maturity date	<p>System displays the due date for the drawing based on tenor and tenor basis.</p> <p>If tenor is sight, system will calculate the maturity date as 5 working days from document Received date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date.</p> <p>If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.</p>	
Usance Interest Rate	Provide the usance interest rate.	
Usance Interest Amount	Provide the usance interest amount for the LC value as per the tenor basis.	

In case of multi tenor, user can provide multiple maturity details by clicking the plus icon.

Field	Description	Sample Values
Interest from Date	Select the interest from date. The interest from date cannot be earlier than branch date and later than maturity date.	
Interest to Date	Maturity date is auto-populated in this field.	

FX Linkage

This section enables the user to link the existing FX contract(s) to the drawing. User can link one or more FX deals to a drawing/bill. The linked value of an FX deal(s) must not exceed the value of the drawing/bill.

FX contract linkage with the Drawing/Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the drawing/bill.

Provide the FX linkage detail based on the description in the following table:

FX Linkage

▲ FX Linkage

Drawing Currency	Drawing Amount	Drawing Maturity Date
GBP	£20,000.00	Dec 12, 2018

Contract Reference Number Contract Currency Contract Amount Contract Available Amount Linkage Amount Rate Amount in Contract Currency

No data to display.

Average FX Rate

0

Save & Close Cancel

Field	Description	Sample Values
Drawing Currency	Read only field. This field displays the currency details from the drawing.	
Drawing Amount	Read only field. This field displays the drawing amount from the drawing.	
Drawing Maturity Date	System to display the due date for the drawing in case of a Usance bill. In case of a sight bill, the system should display the current branch date as Maturity Date (for processing immediate payment).	
Contract Reference Number	Select the FX contract from the LOV.	
Contract Currency	This field displays the currency of the FX contract.	
Contract Amount	This field displays the amount of the FX contract.	
Contract Available Amount	This field displays the unlinked/ available amount under the FX contract.	
Linkage Amount	If FX contract is linked already for the underlying LC, system will display the amount linked. If FX contract is not linked, user can provide the Linkage amount.  Note The linkage amount must not be more than the drawing amount.	
Rate	This field displays the rate at which the contract is booked.	

Field	Description	Sample Values
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
FX Expiry Date	Application auto populates the FX expiry date.	
Delivery period - From	This displays the date from which the contract is available for utilization.	
Delivery Period - To	This field displays the date till which contract is valid.	
Average FX Rate	Average rate for more than one contract if linked.	

Settlement Details

Provide the settlement details based on the description in the following table:

Settlement Details

▲ Settlement Details

Component	Currency	Account Description	Account Currency	Netting Indicator	Amount
No data to display.					

✓ Save & Close
✗ Cancel

Field	Description	Sample Values
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account Description	Application displays the description of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	

Additional Details

Futura Bank My Tasks

Import LC Update Drawing - Data Enrichment

Bank Futura -Br... (203) Apr 13, 2018 SRIDHAR

Main Details Discrepancy Details Maturity Details Additional Details (Selected) Summary

Screen (4 / 5)

Application :- 203ILCUD0018414

Additional Details	Revolving	Limit & Collateral	Charge Details
	<p>Revolving : No Revolving In : Revolving Units : </p>	<p>Limit Currency : Limit Contribution : Limit Status : Collateral Currency : GBP Collateral Contribution : 1100 Collateral Status : Not Applicable</p>	<p>Charge : Commission : Tax : Block Status : </p>
	Payment Details	Settlement Details	FX Linkage
	<p>Immediate Required : No Immediate Accept : No Reim Claimed : No</p>	<p>Component : BILL_LIQ_AMTEQ Account Number : 203001345000000 Account Currency : GBP Description : NESTLE</p>	<p>Reference Number : Currency : Amount : </p>
	Loan Preference		
	<p>Loan Tenor : Loan Maturity : Loan Amount : </p>		

Reject Hold Cancel Save & Close Back Next

Limits & Collateral

Limit & Collateral

▲ Limit Details

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed as the f

▲ Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Available	The amount block can be perf

✓ Save & Close ✗ Cancel

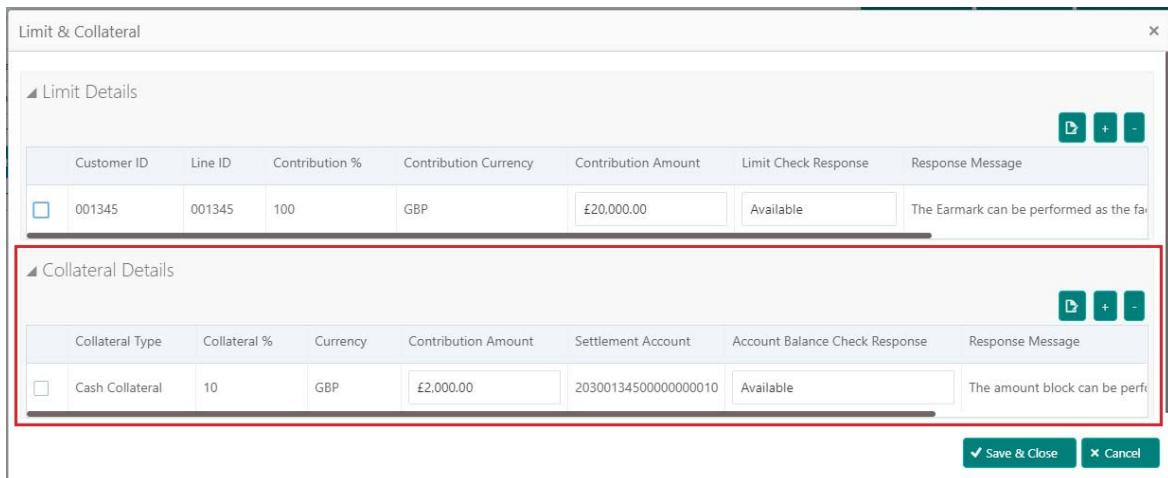
Limit Details

Customer ID	Line ID *
001345	001345
Contribution % *	Limits Description
100	
Contribution Currency	Contribution Amount *
GBP	£20,000.00
Limit Currency	Limit Available Amount
GBP	
Limit Check Response	Response Message
Available	The Earmark can be performed as the f
Verify	
✓ Save & Close ✗ Cancel	

Field	Description	Sample Values
Limit Details	Read only field. Customer ID: Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	Read only field. LINE ID-DESCRIPTION will be available along with Line ID.	

Field	Description	Sample Values
Contribution	System will default this to 100%.	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	

This section displays the collateral details:



The screenshot shows a modal dialog titled 'Limit & Collateral'. It contains two main sections: 'Limit Details' and 'Collateral Details'.

Limit Details: This section displays the following data:

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed as the fail

Collateral Details: This section displays the following data:

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Available	The amount block can be perf

At the bottom of the dialog are two buttons: 'Save & Close' and 'Cancel'.

Collateral Details

Collateral Type *	Collateral % *
Cash Collateral	20
Currency	Contribution Amount *
GBP	£4,000.00
Settlement Account *	Settlement Account Branch
20300134600000000017	203
Settlement Account Currency	Account Available Amount
GBP	£998,926,760.53
Response	Response Message
Available	The amount block can be performed as
<input type="button" value="Verify"/> <input type="button" value="✓ Save & Close"/> <input type="button" value="✗ Cancel"/> 	

Field	Description	Sample Values
Collateral Type	Cash Collateral (CASA) will be the default value available as collateral type. Selected collateral type will be displayed in this field.	
Collateral %	This field displays the percentage of collateral.	
Currency	The LC currency will get defaulted in this field.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	This field displays the details of settlement account for then collateral.	
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	This field displays the Settlement Account Currency.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	

Charge Details

This section displays charge details:

Charge Details

Recalculate **Redefault**

Charge Details

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURISS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	2030013460000000017
LCSWIFTIS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	2030013460000000017
OTHBNKCHG	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	2030013460000000017

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
-----------	------	----------	--------	----------	-------	-------

Save & Close **Cancel**

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

This section displays the commission details:

Charge Details

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILSN_COMM	1.5	GBP	\$1,900.00			<input type="checkbox"/>

Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	203001346000000000017
LCTAX	GBP	1600	203001346000000000017
LCTAX1	GBP	0	203001346000000000017

Save & Close

Field	Description	Sample Values
Component	This field displays the commission component.	
Rate	Defaults from product.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If check box is selected, charges/commissions has to be deferred and collected at any future step.	
Waive	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Charge Details

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILSN_COMM	1.5	GBP	\$1,900.00	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

✓ Save & Close ✕ Cancel

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Update Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	Click Next to move to next logical step in Data Enrichment stage.	

Message Preview

User can view the draft message (outgoing MT754 and MT742/MT750/MT742 SWIFT message format) being displayed on the preview message text box.

Summary

User can review the summary of details updated in Data Enrichment stage Import LC Drawing request.

Export LC Update Drawings - Data Enrichment :: Application No: GS1ELCUD0024742

SRIDHAR01
subham@gmail.com

Screen (8 / 8)

Main Details

Document Details

Additional Conditions

Shipment Details

Discrepancy Details

Maturity Details

Additional Details

Summary

Main Details

Product Code : ESUC
Currency : GBP
Amount : 19800

Document Details

Document 1 : INSDOC
Document 2 : INVDOC
Document 6 : MARDOC

Additional Conditions

FFT Code 1 :
FFT Code 2 :

Shipment Details

Goods Code :
Goods Description :

Discrepancy Details

Discrepancy Code 1 : ACW
Discrepancy Code 2 : BOL
Discrepancy Code 3 : ACW

Maturity Details

Tenor Type : Sight
Tenor Basis :
Maturity Date :

Limit Details

Limit Currency : GBP
Limit Contribution : 19800
Limit Status : Not Verified
Collateral Currency : GBP
Collateral Contr. :
Collateral Status : Not Verified

Charge Details

Charge :
Commission :
Tax :
Block Status : Not Initia...

Compliance

KYC : Verified
Sanctions : Not Initia...
AML : Not Initia...

Party Details

Drawee : Marks and ...
Drawer : EMR & CO

Reject Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Shipment Details - User can view the shipment details.
- Documents and Conditions - User can view the documents and conditions details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Acceptance/Payment Details - User can view payment details.
- Settlement Details - User can view settlement details.
- FX Linkage - User can view the FX linkage details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	<p>Task will get moved to next logical stage of Export LC Update Drawing.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Exceptions

The Export LC Update Drawing request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Block Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the Export LC Update Drawing Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFCM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the Export LC Update Drawing KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

Authorization Re-Key (Non-Online Channel)

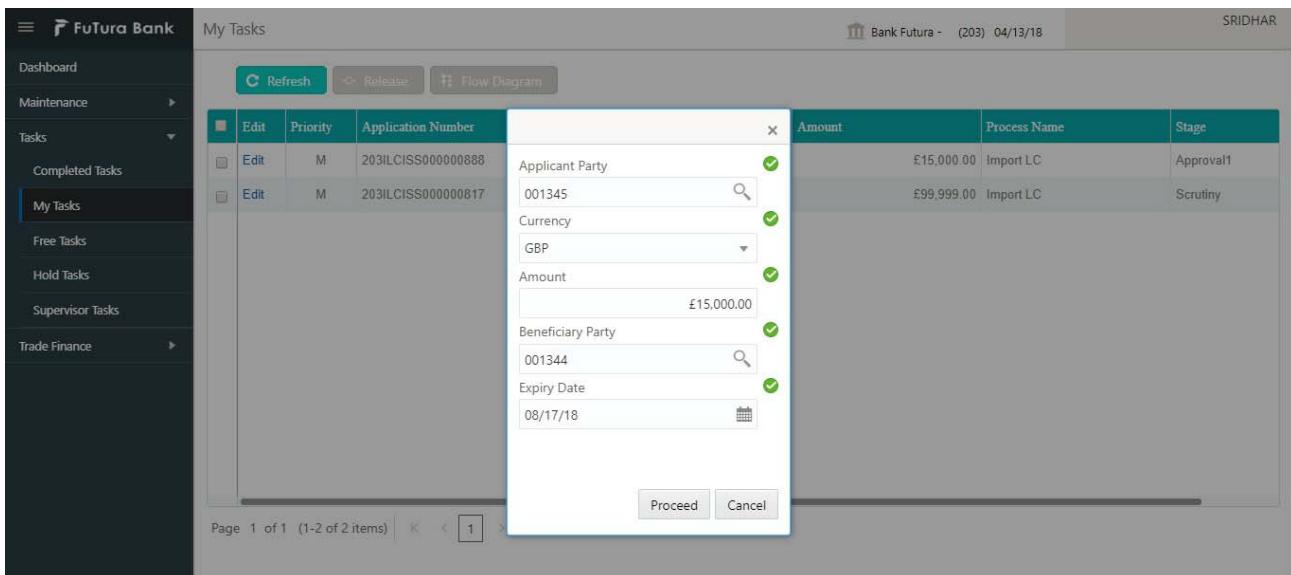
For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open

the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Beneficiary Name
- Applicant Name
- Drawing Currency
- Drawing Amount
- Maturity Date

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.



The screenshot shows a 'My Tasks' interface for 'Bank Futura'. A modal dialog box is open, titled 'Re-key', showing a list of fields for a task with Application Number 203ILCISS000000888. The fields include: Applicant Party (001345), Currency (GBP), Amount (£15,000.00), Beneficiary Party (001344), and Expiry Date (08/17/18). The dialog has 'Proceed' and 'Cancel' buttons at the bottom. The background shows a table with two rows: one for an 'Import LC' process in 'Approval1' stage with an amount of £15,000.00, and another for an 'Import LC' process in 'Scrutiny' stage with an amount of £99,999.00.

Amount	Process Name	Stage
£15,000.00	Import LC	Approval1
£99,999.00	Import LC	Scrutiny

Summary

Futura Bank
Free Tasks
FBN UK (GS1) Feb 1, 2019
SRIDHAR02
subham@gmail.com

Export LC Update Drawings - Approval1 :: Application No: GS1ELCUD0024742

Documents
Remarks
Audit
Screen (1 / 1)

Summary

Main Details	
Product Code	: ESUC
Currency	: GBP
Amount	: 19800

Beneficiary Details

Sequence Number 1	:
Sequence Number 2	:

Document Details

Document 1	: INSDOC
Document 2	: INVDOC
Document 6	: MARDOC

Shipment Details

Goods Code	:
Goods Description	:

Additional Conditions

FFT Code 1	:
FFT Code 2	:

Discrepancy Details

Discrepancy Code 1	: ACW
Discrepancy Code 2	: BOL
Discrepancy Code 3	: ACW

Maturity Details

Tenor Type	: Sight
Tenor Basis	:
Maturity Date	:

Revolving Details

Revolving	: NO
Revolving In	:
Revolving Frequency	:

Payment Details

Immediate Liquidation	:
Immediate Accept	:
Reim Claimed	:

Settlement Details

Component	: CHG1_LIQD...
Account Number	: GS10000261...
Amount	: \$amount

Preferences

Exchange Rate	:
Loan Currency	:
Loan Amount	:

ExLinkage

Reference No.	:
Contract Amount	:
Linkage Amount	:

Limits Details

Limit Currency	: GBP
Limit Contribution	: 19800
Limit Status	: Not Verified
Collateral Currency	: GBP
Collateral Contr.	:
Collateral Status	: Not Verified

Party Details

Drawee	: Marks and ...
Drawer	: EMR & CO

Charge

Charge	:
Commission	:
Tax	:
Block Status	: Not Initia...

Compliance

KYC	: Verified
Sanctions	: Not Initia...
AML	: Not Initia...

Reject
Hold
Refer
Cancel
Approve
Back
Next

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Shipment Details - User can view the shipment details.
- Documents and Conditions - User can view the documents and conditions details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Acceptance/Payment Details - User can view payment details.

- Settlement Details - User can view settlement details.
- FX Linkage - User can view the FX linkage details.
- Preview Message - User can view the preview message.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Export LC Update Drawing in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Application Details

The application details data segment have values for requests received from both non-online and online channels.

Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and LC details.
- Drawing Details - User can view the drawing details.
- Documents Under LC - User can view the documents received under the LC for this drawing, if required.
- Shipment - User can view shipment details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Preferences - User can view set loan preferences.
- FX Linkage - User can view the details of FX Linkage.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	

Field	Description	Sample Values
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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Reference and Feedback

References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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